

A silicon diode-based optoelectronic interface for bidirectional neural modulation

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The development of advanced neural modulation techniques is crucial to neuroscience research and neuroengineering applications. Recently, optical-based, nongenetic modulation approaches have been actively investigated to remotely interrogate the nervous system with high precision. Here, we show that a thin-film, silicon (Si)-based diode device is capable to bidirectionally regulate in vitro and in vivo neural activities upon adjusted illumination. When exposed to high-power and short-pulsed light, the Si diode generates photothermal effects, evoking neuron depolarization and enhancing intracellular calcium dynamics. Conversely, low-power and long-pulsed light on the Si diode hyperpolarizes neurons and reduces calcium activities. Furthermore, the Si diode film mounted on the brain of living mice can activate or suppress cortical activities under varied irradiation conditions. The presented material and device strategies reveal an innovated optoelectronic interface for precise neural modulations.

neural modulation | thin-film silicon diode | optoelectronics | photothermal | bidirectional modulation

Advanced neural modulation techniques are crucial in both research and clinical settings to improve or study functions of nervous systems (1). Compared to drug-based biochemical effects, physical cues including electrical, optical, acoustic, magnetic, mechanical, and thermal signals provide more controllable and versatile interactions with the biological systems at high spatiotemporal resolutions (2–7). In particular, implantable electrical stimulators can be located in targeted neural tissues and modulate cell activities with high precision (8–10). Applications of electrical-based neural implants involve the treatment of neurological disorders like Parkinson's disease (11, 12) and the development of prosthetic devices like cochlear implants (13). Alternatively, recently developed optogenetic techniques offer an effective means for the specific cell targeting based on the expression of light-sensitive ion channels (opsins) (14, 15). Nevertheless, optogenetic methods resort to genetic targeting opsins that hinder their immediate applications in clinics (16). In addition, conventional neural stimulators rely on tethered electrodes or fiber optics for electrical or optogenetic interrogations, respectively (17).

Moreover, the capability of bidirectional modulation, which is to deterministically excite and inhibit specific neural activities in the same cells or tissues, represents an important focus and is highly desirable for next-generation neural interfaces. In addition to enhancing our understanding of neural function in basic research, bidirectional neural modulation is also potential for innovating therapeutic strategies in the treatment of certain neurological and psychiatric disorders, such as depression, Parkinson's disease, and epilepsy (18–22). Traditionally, such a dual modality can be accomplished by injecting inward or outward currents via intracellular or extracellular electrical stimuli, thereby depolarizing or hyperpolarizing the cell membrane potential (3, 23, 24). In the aspect of optogenetics, the bidirectional neuronal modulation has been achieved through the coexpression of two spectrally distinct excitatory and inhibitory opsins (for example, ChrimsonR and stG-TACR2, or BiPOLES), which activate and silence neural activities by illuminating light at different wavelengths (for example, red and blue), respectively (25–27). Alternatively, nongenetic optical approaches, which are based on photocapacitive, photoelectrochemical, photothermal, and photoacoustic effects of various materials and devices, have been extensively explored to regulate neural activities (28-34). However, these reported concepts only provide a unidirectional modality (either excitation or inhibition) based on a single effect (photocapacitive, photoelectrochemical, photothermal, or photoacoustic). While most studies demonstrate effective neural excitations, nongenetic inhibition capabilities are equally important but have been less investigated. Recently, we have implemented thin-film, monocrystalline silicon (Si) pn junctions as an optoelectronic interface to interrogate the nervous system (35). We found that these designed Si diodes, under visible or

Significance

Remote and precise control of neural systems is critically important in fields of neuroscience and neuroengineering. Here, we present a bidirectional neuromodulation technique based on a thin-film silicon diode and light. When exposed to various illumination conditions, the diode film can deterministically excite or inhibit neural cells, revealed by the change of their electrical and calcium activities. This capability is demonstrated in both cell cultures and brain tissues of living mice. We find that such a bidirectional modality is associated with photothermal and photovoltaic effects of the diode structure. This nongenetic, optical-based modulation technology has potential applications in next-generation brain-machine interfaces for both basic research and clinical interventions.

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near-infrared illumination, create polarity-dependent optoelectronic signals (photocurrents and photovoltages) in aqueous solution. In particular, optically irradiated n⁺p and p⁺n Si diodes generate positive and negative photovoltages, selectively accumulating anions and cations at the semiconductor/solution interface, respectively. Furthermore, the photoinduced signals can be adjusted by spatially controlled illumination patterns or lithographically defined diode structures, creating dynamic electric field distributions that deterministically activate (p⁺n Si) or inhibit (n⁺p Si) the neural cells or tissues. Nonetheless, the accomplished neural activation or inhibition effect still requires the implementation of two different diode structures (p⁺n or n⁺p Si junctions, respectively) on the nervous system. In other words, nongenetic, optical-based bidirectional modulation in the same cells or tissues has not yet been realized.

In this work, we present a nongenetic, bidirectional modulation technique that simply utilizes a thin-film Si diode structure to simultaneously activate and inhibit neural activities under optical illumination. By adjusting the optical intensity and pulse width, we find that such a Si n⁺p diode film can depolarize or hyperpolarize neurons in different conditions. Specifically, high-power and short-pulse irradiations induce transient temperature rise in the Si diode, excite cultured neurons and upregulate intracellular calcium dynamics via photothermal effects. Conversely, low-power and continuous illumination suppresses cell activities and downregulates calcium dynamics through optoelectronic effects. Finally, this Si diode film mounts on the brain of living mice, activating and silencing cortical activities. This bidirectional optical-based neural modulation strategy offers numerous opportunities for next-generation brain–machine interfaces.

Results

Structural and Optoelectronic Characteristics of the Si Diode Film. We employ a thin-film, monocrystalline Si n⁺p diode structure for bidirectional neural modulation (Fig. 1*A*). The detailed device design and fabrication scheme are provided in *SI Appendix*, Fig. S1 and *Materials and Methods*. Briefly, a thin-film Si n⁺p junction with

a thickness of 2 μ m is formed by implanting phosphorous ions into p-type silicon-on-insulator (SOI) wafers. The junction depth is measured to be about 0.5 μ m, consistent with the simulation result (*SI Appendix*, Fig. S2). Selective wet-etching releases the lithographically patterned Si film from the original wafer and transfer printing integrates the Si film onto heterogeneous (glass or flexible) substrates. For improved optoelectronic responses in the aqueous environment, gold nanoparticles (AuNPs) are deposited on the p-side of the junction, which is in direct contact with the neural cells or tissues (36). The AuNPs decorated on Si have a size of around 20 nm, and the surface morphology is intact after being immersed in the phosphate-buffered saline (PBS) solution for 24 h (*SI Appendix*, Fig. S3).

We use a customized patch-clamp setup to characterize the in vitro optoelectronic response of the AuNP-decorated Si diode film in PBS solution (Fig. 1B). Optical illumination (at a wavelength of 635 nm) incident on the Si film creates photogenerated carriers (electrons and holes) and establishes an electrical field, which causes the ion accumulation at the Si/solution interface (Fig. 1C) and eventually leads to photocapacitive currents (Fig. 1D). Specifically, light-on and light-off states elicit charge and discharge currents, respectively, and both of them increase monotonically with the light intensity up to ~100 W/cm² (Fig. 1 *E* and *F*). While the maximum photocurrent is recorded in the illumination center, spatially resolved current distribution can be captured by placing the recording pipette at different positions, as shown in SI Appendix, Fig. S4. Here, a smaller light spot (100 µm diameter) is applied to demonstrate that an improved spatial resolution can be realized. Fundamentally, the spatial resolution is limited by the size of the illumination spot as well as the carrier diffusion length of monocrystalline Si (approximately 100 to 200 μ m), in accordance with our measurement. More spatially confined optoelectronic stimulation can be realized by implementing semiconducting materials with shorter carrier diffusion length, for example, porous Si (37). Furthermore, photocapacitive currents produced by the Si diode film with AuNPs are substantially larger than those for the device without AuNPs (SI Appendix, Fig. S5), and no photo response is observed when similar irradiation is imposed on glass (SI Appendix, Fig. S6).



Fig. 1. In vitro optoelectronic response of an n⁺p Si diode film with decorated AuNPs. (A) Picture of an n⁺p Si film with AuNPs (thickness ~2 μ m, lateral dimension ~2 mm) transferred on glass. (B) (*Left*) Scheme and (*Right*) microscopic image of the patch-clamp setup to measure the photocurrent of the Si film. (C) Schematic illustration of photogenerated carriers (electrons e⁻ and holes h⁺) within the Si junction and the ion accumulation at the Si/solution interface. (D) Photocurrent response for the Si film. The illumination condition is intensity 10 W/cm², pulse duration 10 ms. (*E*) Exploded view of charge and discharge peak currents for the Si film under illumination with different intensities (0.01, 0.1, 1, 10, and 100 W/cm²). (*F*) Peak currents of charge and discharge as a function of light intensities. Data are presented as mean ± SEM.

Additionally, we test the photoresponses for the n^+p Si diode film with AuNPs at different wavelengths (470, 635, and 808 nm) and find that the device presents the highest photon-to-electron conversion efficiency under red illumination (635 nm) (*SI Appendix*, Fig. S7).

Photothermal Characteristics of the Si Diode Film. The same patch-clamp setup in Fig. 1B is also capable to capture the photothermal effect of the Si film under illumination, based on the temperature-dependent resistance change of the pipette electrode (36). Described in *Materials and Methods* and *SI Appendix*, Fig. S8, the patch-clamp probe detects the dynamic temperature change (at the millisecond scale) at the semiconductor/solution interface with a high positioning accuracy, which is difficult to accomplish with other thermal characterization methods based on commercial infrared imagers or thermocouples. Under illumination with an intensity of 100 W/cm² and varied pulse widths (1, 2, 5, and 10 ms), the maximum temperature rises range from ~ 3 K to ~ 15 K (Fig. 2 A and C). When we fix the pulse width to 10 ms and change the intensity (10, 50, 75, and 100 W/cm^2), the maximum temperature rises range from ~2 K to ~15 K (Fig. 2 B and D). These measured photothermal results are also in accordance with those predicted by numerical simulations based on the finiteelement analysis (Fig. 2 E and F). SI Appendix, Fig. S9 presents the photothermal characteristics for a Si diode film without AuNPs, which exhibits higher temperature increases than the sample with

AuNPs under similar illumination conditions. This difference may be caused by the different optical reflectance on Si surfaces with and without AuNPs. Under continuous irradiance, the thermal effects should be mitigated in order to avoid irreversible tissue damage induced by prolonged overheating (38, 39). For a five-s pulse duration and varied intensity from 2.5 to 12.5 W/cm², the temperature change is between ~1 K and ~5 K, revealed by both experimental and simulation results (Fig. 2 G and H). The agreement between measured and simulated data indicates that the numerical calculation can provide a quantitative prediction on the photothermal effects of the Si film immersed in aqueous solutions. Fig. 21 summarizes the calculated maximum temperature rise as a function of pulse duration (from 0.1 ms to 10 s) and light intensity (from 0.1 to 100 W/cm²). Such a map can offer a guideline to predict the thermal impact on the biological cells or tissues attached to the Si film. Furthermore, reduced illumination spots can create more spatially confined photothermal effects and improve the stimulation accuracy (SI Appendix, Fig. S10).

Bidirectional Modulations of Electrophysiological Activities for Cultured Neurons. To evaluate the influence of illumination on neural activities, we culture rat dorsal root ganglion (DRG) neurons on the AuNP-decorated n⁺p Si diode film (Fig. 3*A*). Before cell culture, the device is treated with oxygen plasma and coated with poly-L-lysine (PLL) and laminin, which create a hydrophilic surface and promote cell adhesion (*SI Appendix*, Fig. S11). This



Fig. 2. In vitro photothermal response of the Si diode film in the PBS solution. (*A* and *B*) Measured transient temperature increase (ΔT) of a Si film illuminated by a pulsed laser at 635 nm, (*A*) with an intensity of 100 W/cm² and different pulse durations (1, 2, 5, and 10 ms), (*B*) with a pulse duration of 10 ms and different intensities (10, 50, 75, and 100 W/cm²). (*C* and *D*) Statistical data of the maximum temperature rise related to conditions in (*A*) and (*B*) (*n* = 3 devices, three trials for each). (*E* and *P*) Simulated transient temperature response of a Si film corresponding to experimental conditions in (*A*) and (*B*). (*G*) Measured and (*H*) Simulated dynamic temperature increase of a Si film illuminated by a laser at 635 nm with a pulse duration of 5 s and different intensities (2.5, 5, 7.5, 10, and 12.5 W/cm²). (*I*) Simulated map of maximum temperature rise as a function of pulse duration and light intensity. Data are presented as mean ± SEM and analyzed by one-way RM ANOVA. **P* < 0.05, ***P* < 0.01, *****P* < 0.001, *****P* < 0.001, n.s., not significant.



Fig. 3. Bidirectional activation and inhibition of electrophysiological activities of cultured DRG neurons with the Si diode film. (A) Schematic illustration of the patch-clamp setup to record electrophysiological signals of DRG neurons cultured on the Si film under light stimulation (with a 635 nm laser, ~0.5 mm spot size). (*B*) Microscopic image of a DRG cell recorded by a pipette electrode. (*C*) Representative trace of action potentials (APs) activated by pulsed light (intensity 100 W/cm², duration 5 ms, frequency 1 H2). (*D*) Dynamic changes of membrane potentials and (*E*) the probability of APs in response to pulsed light (intensity 100 W/cm², durations 1, 2, 5, and 10 ms) (*n* = 5 neurons, three trials for each neuron). (*F*) Dynamic changes of membrane potentials and (*G*) the probability of APs in response to pulsed light (duration 10 ms, intensity 50, 75, and 100 W/cm²) (*n* = 5 neurons, three trials for each neuron). (*H*) Representative trace showing the maximum decrease of hyperpolarized membrane voltages under light stimulation with different intensities (Si film: *n* = 4 neurons, nine trials in total). (*J*) Representative trace showing that APs of a DRG neuron are suppressed by the Si diode film with different light intensities. The cell is initially excited by injecting currents with a pipette electrode. (*K*) Statistics of spike frequency before and during the stimulation (5 s) with different intensities (*n* = 4 neurons, five trails in total). (*L*) Statistics of spike frequencies (*SF*) during and before the stimulation, respectively (*n* = 4 neurons, five trails in total). (*L*) Statistics of spike frequencies (*SF*) during and before the stimulation, respectively (*n* = 4 neurons, five trails in total). (*L*) Statistics of spike frequencies (*SF*) during and before the stimulation, respectively (*n* = 4 neurons, five trails in total). (*L*) Statistics of spike frequencies (*SF*) during and before the stimulation, respectively (*n* = 4 neurons, five trails in total). (*M*) Schema

pretreated device sample exhibits a desirable biocompatibility with cultured DRG neurons, which have viability comparable to those on the standard glass substrate (*SI Appendix*, Fig. S12).

We study the photoinduced cell activities using the whole-cell patch recording (Fig. 3B). Optical irradiance with different intensities and pulse widths is incident on DRG neurons on the Si film. We first find that high-intensity, short-pulsed illumination (for example, 100 W/cm^2 , 5 ms) can activate DRGs and induce (APs, or spikes) that follow each pulse (Fig. 3C). When we reduce the light intensity or the pulse width, cell depolarization effects are diminished. If the membrane potential does not reach the threshold voltage, the induced cell depolarization cannot result in a complete spike (Fig. 3 D–G). Illumination with a pulse width of 10 ms and an intensity of 100 W/cm² can elicit spikes with almost 100% possibility, while reducing the pulse width to 5 ms or the light intensity to 75 W/cm² only induces APs in some cells. The observed cell activation can be ascribed to the rapid temperature increase associated with the light pulse incident on the Si film (demonstrated in Fig. 2), and similar photothermal effects are explored for Si nanostructures and other light absorptive materials (40-48). Photo responses of these DRG neurons are distinct from those of cells cultured on the Si diode with the opposite polarity (p⁺n film), which generates a more slowly enhanced membrane potential and leads to a greatly delayed (by a few seconds) AP under much lower intensities (35). Another interesting finding is that optically induced APs for neurons on the Si film show much lower peak potentials (~10 mV) comparing to the typical APs initiated by conventional electrical-based intracellular stimulus (SI Appendix, Fig. S13). This result may be attributed to the negative photovoltages generated by the n⁺p Si film (35), which compensates a portion of the elevated membrane potential. It is also noted that higher illumination intensity $(>100 \text{ W/cm}^2)$ can have phototoxicity, eventually causing irreversible cell damage and death (SI Appendix, Fig. S14).

The same AuNP-decorated n^+p Si diode film also produces neural inhibitive function, under low-intensity, prolonged illumination. Shown in Fig. 3 *H* and *I*, continuous illumination (5 s) on the Si diode film reduces membrane potentials of DRG neurons, by values from 0.5 to 3 mV with light intensities varying from 2.5 to 12.5 W/cm². The cell hyperpolarization could be owing to the photocapactive effect of the n^+p Si diode (35). Furthermore, such photoinduced hyperpolarization can suppress electrically induced APs in DRG neurons (Fig. 3*J*). When the irradiance increases up to 12.5 W/cm², the spike frequency gradually decreased from 3 Hz to 0, and spikes are fully inhibited at 10 or 12.5 W/cm² (Fig. 3 *K* and *L*).

The above results suggest that photothermal and photocapacitive responses of the AuNP-decorated n⁺p Si diode film coexist and exert different influences on the neural activities, depending on the illumination intensity and the pulse duration. Fig. 3*M* provides a qualitative map to illustrate these diverse outcomes. High-power and short-pulsed light excites the DRG neurons, mostly due to the transient temperature rise of the Si film. On the contrary, low-power and long-pulsed light dominantly create optoelectronic signals that inhibit the cells. On the other hand, low-power and short-pulsed light simply does not alter the cell function, and high-power and prolonged irradiance inevitably causes cell dysfunction and death. As a comparison, similar irradiations imposed on DRG neurons cultured on transparent and photoinsensitive glass substrates do not induce any depolarization or hyperpolarization effects under similar illuminations (*SI Appendix*, Fig. S15).

Bidirectional Modulations of Calcium Dynamics for Cultured Neurons. Calcium (Ca²⁺) signals directly correlate with the electrophysiological activities of neural cells. In Fig. 4, we capture Ca²⁺ dynamics of DRG neurons cultured on the AuNP-decorated n⁺p Si diode film in response to light. A confocal microscope records the intracellular Ca2+ fluorescence of neurons loaded with the Ca²⁺ indicator (Calbryte-520). In this experiment, we investigate the behavior of a same group of DRG neurons on the Si film upon high-power (100 W/cm²), short-pulsed (10 ms) (Fig. 4A) or low-power (1 W/cm²) and long-pulsed (20 s) illuminations (Fig. 4F). Corresponding images and videos are presented in Fig. 4 B and G and Movies S1 and S2, respectively. The highpower and short-pulsed light triggers increased Ca²⁺ fluorescence of multiple DRG neurons, by a factor of about 5 (Fig. 4 C-E). Conversely, Ca²⁺ activities of these cells are suppressed by lowpower and long-pulsed irradiation, by about 10% (Fig. 4 *H–J*). These activated and inhibited Ca²⁺ dynamics are in accordance with the electrical activities of neurons responding to the optical modulations, validating the bidirectional functionality of the Si diode film.

Bidirectional Modulations of Cortical Neural Activities In Vivo. In addition to the demonstration on cultured cell in vitro, we also mount the Si diode film on the cortical region of rats and perform optical modulations in vivo (Fig. 5). A released n⁺p Si diode film $(2 \times 2 \text{ mm}^2)$ is bonded on a flexible PET substrate (Fig. 5A) and subsequently attached on the somatosensory cortex of a living rat, with the AuNP-decorated p-side in contact with the tissue. A 32-channel Si-based microelectrode array is inserted into the brain tissue underneath the Si film and captures extracellular activities, while a laser beam is delivered on the Si film (Fig. 5 B and C). When analyzing the changes of neuron activities, we consider the photon-induced noise and use Fast Fourier Transform (FFT) to eliminate noise (SI Appendix, Fig. S16). Well-denoised waves are then considered for further analysis (SI Appendix, Fig. S17). Fig. 5D presents a representative unit with sorted neural spikes, indicating the quality of the recording. First, we implement high-power, short-pulsed laser (100 W/cm², 10 ms) and record corresponding cortical activities. In this test, multiple trials are collected and sorted, and the generated raster plot and heatmap of cellular activities evidence significantly increased firing spikes after the irradiation (Fig. 5 E and F). Within the pulse period (10 ms), we observe both activated and silenced units, which could be attributed to the existence of different cell types of cortical neurons and their dissimilar responses in the complex network. Nevertheless, most neurons exhibit clearly enhanced firing rates after illumination, compared to their basal activities prior to stimulation (Fig. 5*G*). Next, we observe that cortical activities can be inhibited when applying a low-power, long-pulsed illumination $(10 \text{ W/cm}^2, 1 \text{ s})$ on the Si diode film (Fig. 5 *H*–*J*). Specifically, the irradiation is imposed when the animal is experiencing highlevel spontaneous activities (averaged firing rate ~1 Hz). Both the raster plot and the heatmap collected among multiple units indicate greatly suppressed extracellular activities over the course of the modulation (Fig. 5 H-J). Furthermore, in addition to the multiunit activities, we also analyze single-unit activities. These results are consistent, indicating that the Si film bidirectionally modulates neural activities (SI Appendix, Figs. S18 and S19). As for the control group, similar illumination conditions directly applied on the rat cortex (without Si film) do not evoke any excitation or inhibition responses on in vivo neural activities (Fig. 5 G and J).

Discussion

To summarize, we demonstrate that the AuNP-decorated n⁺p Si diode film enables the simultaneous optical-based, nongenetic neural activation and inhibition, in both in vitro and in vivo experiments. Such



Fig. 4. Bidirectional modulation of calcium (Ca²⁺) dynamics of DRG neurons with the Si diode film. (A) Illustration of the microscopic setup to image the Ca²⁺ fluorescence of DRG neurons, which are activated by illuminating a pulsed light on the Si film (light intensity 100 W/cm², duration 10 ms). (*B*) Fluorescent images of DRG neurons cultured on the Si film, at different time frames before and after optical stimulation. (*C*) Transient Ca²⁺ signals ($\Delta F/F_0$) of multiple DRG neurons circle-marked in (*B*). (*D*) Average Ca²⁺ signals. The solid lines and shaded areas indicate the mean and SEM, respectively. (*E*) Comparison of maximum Ca²⁺ signals ($\Delta F/F_0$) before and after pulsed stimulation for all marked neurons (n = 6 neurons). (*F*) Illustration of the microscopic setup to image the Ca²⁺ fluorescence of DRG neurons, which are inhibited by continuous illumination on the Si film (light intensity 1 W/cm², duration 20 s). (*G*) Fluorescent images of DRG neurons cultured on the Si film, at different time frames before and during optical stimulation. (*H*) Transient Ca²⁺ signals ($\Delta F/F_0$) of multiple DRG neurons cultured on the Si film, at different time frames before and during optical stimulation. (*H*) Transient Ca²⁺ signals ($\Delta F/F_0$) of multiple DRG neurons cultured on the Si film, at different time frames before and during optical stimulation. (*H*) Transient Ca²⁺ signals ($\Delta F/F_0$) of multiple DRG neurons cultured on the Si film, at different time frames before and during optical stimulation. (*H*) Transient Ca²⁺ signals ($\Delta F/F_0$) of multiple DRG neurons ($n \in 8$ neurons). (*J*) Average Ca²⁺ signals. The solid lines and shaded areas indicate the mean and SEM, respectively. (*J*) Comparison of maximum Ca²⁺ signals ($\Delta F/F_0$) before and during stimulation for all marked neurons (n = 8 neurons). All data are presented as mean ± SEM and analyzed by unpaired *t* test. **P* < 0.05, ***P* < 0.01, *****P* < 0.001, n.s., not significant.

material and device concepts combine the benefits of optics and electronics. The operation principle takes advantage of the efficient photon-to-electron process of Si, and the device fabrication is adapted from mature Si-based semiconductor manufacturing and easily scalable. Compared to traditional electrical stimulators, the optically powered Si membrane eliminates the use of tethered circuits and batteries and is more compatible with the biological tissue. Compared to optogenetic-based bidirectional modulation, the optoelectronic

interface does not require viral injection and expression and is more relevant to and promising for clinical translation. Furthermore, previous studies have shown that the thin-film Si-based devices can naturally dissolve within the living tissue after chronic use (35).

In particular, we find that both photothermal and photocapacitive effects occur at the interface between the semiconductor and the neurons, and they evoke cell depolarization or hyperpolarization depending on different illumination conditions. Under high power and short pulse



Fig. 5. Bidirectional activation and inhibition of in vivo cortical activities with the Si diode film. (*A*) Photograph of the Si film attached on a flexible polyethylene terephthalate (PET) film. (*B*) Schematic illustration and (*C*) Photograph of the experiment setup. The Si film is mounted on the rat cortex and illuminated by light, while a multichannel electrode array is inserted beneath the Si film to record the cortical electrophysiological signals. (*D*) Representative aligned waveforms recorded from a neuron. Each black line depicts one spike waveform and the red line depicts the averaged waveform. (*E*) Spike raster plots collected from 32 recording channels (*Top*) and averaged neuron firing rates (*Bottom*) in response to a 10-ms pulsed stimulation. (*F*) Heatmap of 32 channels. (*G*) Statistics of firing rates before and after stimulations for cells on Si and without Si (before: [-20, 0] ms, after: [10, 30] ms. Si film: n = 32 neurons from 5 rats, Without Si: n = 6 neurons from 3 rats). (*H*) Spike raster plots collected from 32 recording channels (*Top*) and averaged neuron firing rates before and during stimulations for cells on Si and without Si (before: [-1, 0] s, after: [0, 1] s, Si film: n = 32 neurons from 5 rats, Without Si: n = 12 neurons from 3 rats). All data are presented as mean ± SEM and analyzed by Mann–Whitney *U* test. *P < 0.05, **P < 0.01, ****P < 0.001, n.s., not significant.

duration, photothermal effects dominate, while under low power and long pulse duration, photocapacitve effects take precedence. Fundamental mechanism of such a bidirectional modulation method may be associated with the coexistence of temperature-sensitive ion channels (like TRPV1) and voltage-sensitive ion channels in neurons (like Nav1.1 and Kv1.1) (24, 49), as well as their complicated interactions with the Si diode upon illumination. For thorough understanding of these effects, further studies would involve the use of genetically modified animals with overexpressed and underexpressed ion channels. In the future, geometrical structures of the Si film and illumination patterns can be more precisely tuned, to interrogate individual cells or neural networks with higher spatial and temporal resolutions.

Application scenarios of this nongenetic modulation strategy include both in vitro and in vivo fields, ranging from cultured cells and organoids to peripheral and central nervous systems. The bidirectional regulation would be promising for use in areas like drug screening (50), retinal prosthesis (10, 51), tissue regeneration (52), and motorsensory restoration (53). For in vivo applications in the deep nerve tissue, light delivery could be facilitated by implementing implantable fibers or waveguides, as recently demonstrated for cardiac stimulation (37). Collectively, our work here not only sheds light on the unusual interactions between semiconductor and biological systems but also provides possible insight into next-generation brainmachine interfaces.

Materials and Methods

The n^+p Si junction was made of a SOI wafer (device layer, p-type) followed by implantation of phosphorus (P) and thermal annealing. Patterns of doped Si films were lithographically defined and lifted off by removing the buried oxide layer. Polydimethylsiloxane stamps and thermal release tapes were applied to

transfer the Si films onto target substrates. The device was coated with AuNPs before cell culturing.

Data, Materials, and Software Availability. All study data are included in the article and/or supporting information.

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Supporting Information

Device preparation and characterization

The n⁺p Si junction was made of a silicon-on-insulator (SOI) wafer (device layer, p-type, (100), 1–10 Ω cm, ~2 μ m; buried oxide layer, 1 μ m; handle layer, p-type, (100), 1–10 Ω cm, 525 μ m) followed by implantation of phosphorus (P) (dose 4 × 10¹⁴ ions cm⁻², energy 75 keV). After acid cleaning, the implanted wafers were annealed for 30 mins at 950 °C for dopant activation.

Patterns of doped Si films were lithographically defined by reactive ion etching. The SOI wafer was cleaned in acetone for 10 min with ultrasonic cleaning, then in H_2O_2 : NH₄OH : H₂O = 1 : 1 : 5 (10 min, 80 °C). SOI wafer was etched in hydrofluoric acid (40% HF, ACS grade, Aladdin) for 3 min. Then SPR 220 was used to make an anchor. The SOI was dipped in the hydrofluoric acid (40% HF, ACS grade, Aladdin) over night to remove the buried oxide layer and release the 2-µm-thick Si films (size 2 × 2 mm²).

Polydimethylsiloxane (Dow Corning Sylgard 184 kit, 1:10 weight ratio) stamps and thermal release tapes (No.3198, Semiconductor Equipment Corp.) were applied to transfer the Si films onto target substrates. An epoxy (SU8-3005, 5 μ m thick) was spinned on substrates to form an adhesive layer. After transferring Si films, samples were baked at 180 °C at least for 2 hours to detoxify the SU8 film (*1*, *2*). For patch clamp, Si films were transferred on glass coverslip (thickness ~150 μ m, diameter ~ 6 mm). For calcium imaging, Si films were transferred on glass coverslip (thickness ~150 μ m, diameter ~ 6 mm) with a hole (diameter 1.5 mm). For optical modulations in mice's cerebral cortex, Si films were transferred on a PET film (thickness ~20 μ m).

The device was coated with gold nanoparticles, by dipping into 0.5 mM HAuCl₄ (G4022, Sigma-Aldrich) in 1% HF (GR, 40%, Aladdin) for 3 min. Finally, the device was treated with oxygen plasma for 90 seconds to make the surface more hydrophilic and promote cell adhesion (*3, 4*). Before cell culture, the device was coated with 0.01% poly-l-lysine (No.P8920, Sigma-Aldrich) overnight, then coated with $1-2 \ \mu g \ cm^{-2}$ laminin (No. L2020, Sigma-Aldrich) for more than 4 hours. The water contact angle on the sample surface was measured by a general-purpose video optical contact angle tensiometer (LSA60, Germany LAUDA Scientific).

Scanning electron microscope (SEM) measurements of Si and Au/Si films were performed on a Hitachi SU-08010 microscope at 10 kV. Energy-dispersive X-ray spectroscopy (EDS) analysis was performed on a Gemini SEM 500. EDS analyses were selected for elemental Au, face swept, 15 kV.

Optoelectronic characterizations

A standard patch-clamp setup was used to measure the photon response of the Si films. Micropipettes were pulled from glass capillaries using a micropipette puller (P-97, Sutter Instruments) for a final resistance of ~1–2 M Ω in 1× phosphate buffered saline (1× PBS) solution (Solarbio, P1020). During the photothermal characterization, the dish (Corning, 430165) was filled with 4 mL of 1× PBS solution and a Ag/AgCl wire was placed in the chamber. Current-clamp protocols were performed with an Axopatch 700B amplifier controlled by pClamp software (Molecular Devices). Glass pipettes is positioned about 1 µm above the Si surface. Light stimulations were generated by a 635 nm laser (MDL-D-635 nm, Changchun New Industries Optoelectronics Tech. Co., Ltd.), a 470 nm laser (CME-L470-2.5W, Zhongke Micro Energy (Beijing) Technology Co.), or an 808 nm laser (LOS-BLD-0808-005W-C/P, Hitech Photonics, LLC, China) with controlled power, frequency and duration. A laser beam (spot size

 \sim 500 µm) was delivered on the Si film through an optical fiber (diameter 200 µm). The light pulses were controlled by patch clamp logic signals. The light intensity from the fiber tip was measured by using a power meter (LP10, Sanwa). The transient photoresponse measurements were taken by voltage-clamp recording (filtered at 10 kHz and sampled at 100 kHz), with Si films fully immersed in PBS solution.

For spatially resolved mapping of photocurrent, a smaller light spot (100 μ m diameter) was generated using a \times 5/0.1 objective in conjunction with a fiber-coupled 635 nm laser. The optical power intensity is 10 W/cm².

Photothermal characterizations

Photothermal characterization of Si film was performed using a previously described micropipette method in a voltage-clamp mode (5). To calibrate the resistance of the micropipette as a function of temperature, 4 mL of $1 \times PBS$ solution was heated to 60 °C and added into a 35 mm dish. The temperature of the solution was continuously measured by a T type thermal couple using a temperature logger (USB-TC01, National Instruments Co.) to establish a calibration curve, the micropipette's resistance was recorded until a bath temperature of 20 °C was reached (Fig. S8a and S8b). The dependence between the micropipette's resistance *R* and temperature *T* follows the Arrhenius equation(6) :

$$\ln\left(\frac{R}{R_0}\right) = \frac{A}{T} + C \tag{1}$$

According to Ohm's law:

$$V_{\rm p} = I_0 \times R_0 = I_{\rm thermal}(t) \times R(t)$$
⁽²⁾

$$\frac{I_{\text{thermal}}(t)}{I_0} = \frac{I_0 + \Delta I_{\text{thermal}}(t)}{I_0} = \frac{R_0}{R(t)}$$
(3)

where $V_{\rm p}$, I_0 , R_0 , $I_{\rm thermal}(t)$, R(t), are the holding voltage (14 mV), the injected current (7.5 nA), the micropipette resistance at 20 °C, the thermal current, the measured micropipette resistance, respectively. The transient photocurrent $\Delta I_{\rm photo}(t)$ was collected with without bias current (Fig. S8c). $\Delta I_{\rm thermal}(t)$ was obtained by subtracting $\Delta I_{\rm photo}(t)$ and I_0 from the overall photocurrent, and R(t) was determined based on Eq.(3) (Fig. S8d and S8e). Finally, the temperature T was determined by the fitted $\ln R - 1/T$ curve (Fig. S8f).

Photothermal simulation

A finite-element analytical model (COMSOL Multiphysics) is used to calculate transient temperature rise of silicon film in response to irradiation. The materials and corresponding parameters (density, thermal conductivity and heat capacity) used in the model include: silicon (2.3 g cm⁻³, 150 W m⁻¹ K⁻¹, 0.71 J g⁻¹ K⁻¹), glass (2.2 g cm⁻³, 1.4 W m⁻¹ K⁻¹, 0.73 J g⁻¹ K⁻¹), water (1.0 g cm⁻³, 0.61 W m⁻¹ K⁻¹, 4.2 J g⁻¹ K⁻¹) and SU-8 (1.2 g cm⁻³, 0.2 W m⁻¹ K⁻¹, 1.5 J g⁻¹ K⁻¹). The light absorption of the Si film is set to 60% and the diameter of light spot is 0.5 mm. The results of transient temperature rise of silicon film under the same total power irradiation for different times and under the same irradiation time but different total power are simulated. Subsequently, the temperature rise model of the silicon film modified with AuNPs is constructed. Considering that the light reflection of the scale surface is enhanced after the addition of AuNPs, the reflectivity is set at 25%, and the relationship between temperature change and time is obtained as with the former.

Culture of DRG neurons

DRGs of male rats (4 weeks) were extracted and transferred in ice-cold of DMEM/F12 (No.11330-032, gibco). Tissues were cut into small pieces and treated with an enzyme solution containing 5 mg ml⁻¹ dispase (No.ST2339, beyotime) and 1 mg ml⁻¹ collagenase (No.ST2294, beyotime) at 37 °C for 1 h. After trituration with 1 ml pipette for 30 times until the liquid becoming turbid. After centrifugation at 250 g for 5 min, cells were washed in 15% (w/v) bovine serum albumin (SRE0096-10G, Sigma) and resuspended in 2 ml of DMEM/F12 containing 10% fetal bovine serum, and 1% penicillin–streptomycin (15140-122, gibco). One tenth of the total DRG cells was planted on the device in each well of the 96 wells plate. The DRG cells was cultured in an incubator at 37 °C for 1 day (7).

Viability Assay

For the assay, the samples were labeled with LIVE/DEADTM Cell Imaging Kit (Invitrogen). The live cells were labeled with Calcein AM (green); the dead cells were labeled with EthD-1(red); After adding the dyes, the samples were incubated for 15 min at 37 °C with 5% CO₂. The samples were washed with HBSS for three times. The viability (%) was calculated as total no. of live cells / total No. of cells × 100.

In vitro electrophysiological recording

DRG neurons were perfused with artificial cerebrospinal fluid (ACSF) containing the following (in mM): 126 NaCl, 3 KCl, 1 MgCl₂, 2.5 CaCl₂, 10 glucose, 26 NaHCO₃ and 1.2 NaH₂PO₄ at room temperature. Recording pipettes (6–7 M Ω) were pulled with a micropipette puller (P1000, Sutter Instrument). For whole-cell recordings, pipettes were filled with internal solution that contained the following (in mM): 120 K-gluconate, 10 HEPES, 0.2 EGTA, 2 KCl, 4 Na₂ATP, 0.4 Na₂GTP, 2 MgCl₂ and 10 phosphocreatine disodium (pH 7.2–7.4). Voltage-and

current-clamp recordings were performed with a computer-controlled amplifier (MultiClamp 700B, Molecular Devices). Recorded traces were low-pass filtered at 3 kHz and sampled at 10 kHz (DigiData 1440, Molecular Devices). Collected data were analysed using Clampfit 10 software (Molecular Devices).

Calcium imaging

Cells were loaded with Calbryte 520 (Screen QuestTM Calbryte-520TM Probenecid-Free and Wash-Free Calcium Assay Kit, AAT-A36317) at 37 °C for 1 h. Then the device was removed from the 96 wells and placed on the confocal dish (diameter 35 mm) with the cells above the glass for 150 µm. Almost 200 µl HBSS was added to the confocal dish. Ca²⁺ fluorescent signals were imaged with a 20× objective on an inverted confocal microscope (Nikon A1R HD25). Fluorescence images were analysed via ImageJ. Normalized fluorescence changes were calculated as $\Delta F/F_0 = (F - F_0)/F_0$, where F_0 is the baseline intensity.

Stimulation and recording cortical activities in vivo

Mature adult Sprague Dawley rats (weights on around 200 g) were used in this study. Rats were maintained at room temperature (24 $^{\circ}$ C) with proper humidity and on a 12-hour light/dark cycle and fed at libitum. All animal protocols used were in accordance Tsinghua University and the Shenzhen Institute of Advanced Technology, and were approved by their Institutional Animal Care and Use Committee.

Rats were firstly anesthetized with 2% isoflurane and maintained with pentobarbital sodium $(1\% \text{ kg}^{-1})$. After mounted on the stereotaxic apparatus (RWD, China) using ear bars, eye ointment was covered on the animals to protect from desiccation. The exposed skull was under a craniotomy to tile the film. Stainless steel screw soldered the silvery wire were used as ground

on the cerebellum. The silicon electrode was aslant ($\sim 25 \circ -30 \circ$) inserted along the near edge of the Si film, and the optic fiber was positioned vertically above small portion of the Si film.

Neural activity in rats was recorded using a self-designed system and silicon microelectrodes (Lotus, American). We employed traditional approaches for spike detection, dividing the entire procedure into three steps: noise removal, thresholding detection, and average template matching. Firstly, band pass filtering was used with cutoff frequency ranging from 250 to 7000 Hz. The desired spike trains are primarily contained in the remained signal. Due to the photon artifacts when recording, the technique of Fast Fourier Transform was specifically involved to remove of such photon-induced noise. After denoising step, we needed a simple but preliminary detection for the potential spikes. We assumed that the peak of existence putative spike often exceeds -60µV, and the consecutive spikes probably not occurs in an extremely short period (inter-spikeinterval is larger than 1 ms). Finally, for the purpose of improvement in the quality of detected spikes, we further implemented an average template matching algorithm. Each spike identified during the thresholding process was measured for its similarity to the averaged templates. The templates for each channel were obtained from visually better spikes that are identified in the previous step. Noisy channels and those with low firing through the recording were discarded. Spikes were grouped according the repetitive trials. Neural firing rate were smoothed using gaussian filter for visualization purposes only. All the analysis were conducted using Python 3.10.

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Figure S1. Processing flow for the fabrication of Au decorated n^+p Si films used for (left) patch clamp and (right) for Ca²⁺ fluorescence imaging.



Figure S2. Doping profiles of the fabricated n⁺**p Si film.** Blue and black lines indicate phosphorous (P) concentration as a function of depth by secondary ion mass spectrometry (SIMS) measurement and Monte-Carlo simulation, respectively.



Figure S3. Surface morphologies (SEM and corresponding EDS for Au) of an n⁺p Si film with AuNPs.



Figure S4. Spatially resolved measurement of photocurrent for the Si diode film. (a) Schematic illustration of the experiment setup. The red circle is the illumination site with a diameter of 100 μ m. The glass pipette is placed in 49 different sites labelled by dark dots. Photocurrents are recorded by using the patch clamp. The light intensity is 10 W/cm², and the pulse duration 10 ms. (b) Summarized plot mapping the recorded peak photocurrents at different sites.



Figure S5. In vitro optoelectronic response of an n^+p Si diode film without AuNPs. (a) Scheme of the patch-clamp setup to measure the photocurrent of the Si film. (b) Photocurrent response for the Si film. The illumination condition is: intensity 10 W/cm², pulse duration 10 ms. (c) Exploded view of charge and discharge peak currents for the Si film under illumination with different intensities (0.01, 0.1, 1, 10, 100 W/cm²). (d) Peak currents of charge and discharge as a function of light intensities. Data are presented as mean \pm s.e.m.



Figure S6. Optoelectronic response of a glass substrate. The illumination conditions is: intensity 10 W/cm², pulse width 10 ms.



Figure S7. In vitro optoelectronic response (peak currents of charge and discharge as a function of light intensities) of an n^+p Si diode film with AuNPs at different wavelengths (470 nm, 635 nm, and 808 nm). Data are presented as mean \pm s.e.m..



Figure S8. Characterization of photothermal effects for the Au decorated n⁺p Si diode film with the patch-clamp setup. (a) Measured resistance (*R*) of the pipette electrode versus temperature (*T*) in the PBS solution. (b) Arrhenius curve showing the linear relationship between $\ln(R/R_0)$ and 1/T. R_0 is the resistance of micropipette at room temperature (295 K). Black dots denote the measured values and the red line denotes the linear fit. (c) Transient photocurrent response (ΔI_{photo}) without bias current (I_0). The illumination condition is: pulse duration 10 ms and intensity 100 W/cm². (d) Transient photocurrent response ($I_0 + \Delta I_{\text{photo}} + \Delta I_{\text{thermal}}$) with a bias current ($I_0 = 7.5$ nA). (e) Calculated photothermal current ($\Delta I_{\text{thermal}}$) by subtracting data in (c) from data in (d). (f) Calculated dynamic temperature increase based on the calibration curve in (b). The temperature resolution is ~0.5 K.



Figure S9. In vitro photothermal response of a Si diode film without AuNPs in PBS. (a, b) Measured transient temperature increase of the Si film illuminated by a pulsed laser at 635 nm, (a) with an intensity of 100 W/cm² and different pulse durations (1, 2, 5, 10 ms), (b) with a pulse duration of 10 ms and different intensities (10, 50, 75, 100 W/cm²). (c, d) Statistical data of the maximum temperature rise related to conditions in (a) and (b) (n = 3 devices, 3 trials for each). (e, f) Simulated transient temperature response of a Si film corresponding to experimental conditions in (a) and (b). Data are presented as mean ± s.e.m and analyzed by one-way RM ANOVA. *p < 0.05, **p < 0.01, ***p < 0.001, n.s., not significant.



Figure S10. Simulated spatial distribution maps of the maximum temperature rise on a Si diode film with AuNPs. The Si film (size $2 \times 2 \text{ mm}^2$) is immersed in the PBS solution. The light intensity is 100 W/cm², the pulse duration is 10 ms, and the diameter of illumination spot is (a) 500 μ m and (b) 100 μ m.



SU8 on glass + O_2 plasma

Figure S11. Photographs showing contact angles for water droplets (2 $\mu l)$ on different substrates.



Figure S12. Biocompatibility of Si films. (a) Live/dead fluorescence assay performed on DRG neurons cultured on the Au coated n^+p Si film and glass. Green (Calcien AM) and red (ethidium homodimer-1) denote live cells and dead cells, respectively. (b) Averaged viability (%) of DRG neurons cultured on the Si film and glass. Data are presented as mean \pm s.e.m. (n = 3 images) and analyzed by unpaired *t*-test. n.s., not significant.



Figure S13. Comparision of typical APs initiated by electrical and optical stimuli. (a) A typical AP initiated by elevating the rest membrane potential to -30 mV. (b) A representative trace of AP activated by applying pulsed light (intensity 100 W/cm², duration 10 ms, frequency 1 Hz) on the Si film. (c) Statistical results comparing the peak potentials of spikes by electrical and optical stimuli (electrical stimulus: n = 10 neurons, and optical stimulus: n = 12 neurons). Data are presented as mean \pm s.e.m. and analyzed by unpaired *t*-test. *p < 0.05, **p < 0.01, ***p < 0.001, ****p < 0.001, n.s., not significant.



Figure S14. Recording of a DRG neuron on the Si film under high-power illumination. The illumination condition is: 635 nm laser, intensity 150 W/cm², duration 5 ms, frequency 1 Hz. The strong phototherml effect eventually leads to the cell death.



Figure S15. Electrophysiological activities of cultured DRG neurons on glass. (a) Schematic illustration of the patch-clamp setup to record electrophysiological signals of DRG neurons cultured on glass under light stimulation (with a 635 nm laser, ~0.5 mm spot size). (b) Microscopic image of a DRG cell recorded by a pipette electrode. (c, d) Representative trace of membrane potentials under pulsed light: (c) intensity 100 W/cm², duration 10 ms, frequency 1 Hz, (d) intensity 100 W/cm², duration 10 ms. (e) Statistics of averaged membrane potentials in response to pulsed light stimulation (intensity 100 W/cm²) (n = 3 neurons, 2 trials for each). Data are presented as mean \pm s.e.m. and analyzed by unpaired *t*-test. n.s., not significant. (f) Representative trace showing membrane potentials of the same DRG cell modulated by continuous illumination (duration 5 s, intensities 2.5, 5, 7.5, 10, 12.5 W/cm²).



Figure S16. Removal of light induced noise from signal using fast Fourier transform (FFT). Demonstration of the original wave with photo induced noise characteristics. The process of using FFT to eliminate the photo induced noise is then illustrated. $X(\omega)$ represents FFT transform of original signal x(t), $N(\omega)$ denotes FFT transform of photo induced noise n(t), s(t) represents the denoised signal and F^{-1} represents the inverse FFT.



Figure S17. Denoised wave containing well-separated spikes and corresponding waveforms. Demonstration of high-pass denoised waves containing spikes and well-sorted neurons, with corresponding ISIs showing a low ratio of shorter ISI (less than 2 ms). The red line indicates the photostimulation time.



Figure S18. Optical activation of neurons in vivo using Si diode film with single-unit activities. (a) Raster plot displaying all neurons with significant firing increases following short-term photon exposure on the Si film. (b) Selection from a subset of neurons showcasing their interspike interval distribution. (c) Corresponding neural waveforms related to (b). The black waveform indicates the waveform before photon exposure, while the red waveform indicates after photon exposure.



Figure S19. Optical inhibition of neurons in vivo using Si diode film with single unit activities. (a) Raster plot of all neurons showing significant firing decreases upon photon exposure on the Si film. (b) Selection from a subset of neurons showcasing their ISI distribution. (c) Corresponding neural waveforms related to (b). The black one indicates the waveform before photon exposure, while the red one indicates after photon exposure.

Movie S1



Movie S1. Video (5× speed) shows increased Ca^{2+} fluorescence of cultured DRG neurons evoked by a Si diode film under pulsed light stimulation (intensity 100 W/cm², duration 10 ms).

Movie S2



Movie S2. Video (10× speed) shows decreased Ca^{2+} fluorescence of cultured DRG neurons suppressed by the Si diode film under continuous light stimulation (intensity 1 W/cm², duration 20 s).